

#2/Pre A

P/2167-105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

New York, New York

Lloyd A. ADAMS, et al.

Date: February 7, 2000

Serial No.: 09/366,135

Group Art Unit: 2761

Filed: August 2, 1999

Examiner: --

For: NETWORK BASED FINANCIAL TRANSACTION PROCESSING SYSTEM

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Asst. Commissioner for Patents  
Box Non-Fee Amendment  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Preliminary to the examination of the above-identified patent application, please amend the above-identified application as follows:

IN THE SPECIFICATION:

Please amend the specification as follows:

Page 13, line 16, change "and" to --,--; and

line 16, after "microcomputers" insert --and PC servers--.

✓  
✓

REMARKS

Claims 1-68 are presented for examination in the subject application. By this Preliminary Amendment, Applicants have amended the specification to more clearly describe Applicants' invention. No new matter has been added by this Preliminary Amendment.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on February 7, 2000:

Alan M. Weisberg

Name of applicant, assignee or  
Registered Representative

*Alan M. Weisberg*

Signature

February 7, 2000

Date of Signature

Respectfully submitted,

*Alan M. Weisberg*

Alan M. Weisberg

Registration No.: 43,982

OSTROLENK, FABER, GERB & SOFFEN, LLP

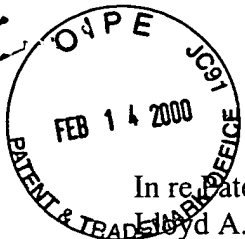
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## AMENDMENT TRANSMITTAL LETTER - FEE COMPUTATION

Transmitted herewith is an amendment in the above-identified application.

\_\_\_ "Small Entity" status (37 C.F.R. §1.9 & §1.27) established  
\_\_\_ previously \_\_\_ by enclosed verified statement.

OFGS Check No. \_\_\_, which includes the fee of \$ -0- calculated below, is attached.

NO. CLAIMS AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	EXTRA PRESENT	RATE	ADDIT. FEE
TOTAL 68 MINUS 68	* = 0	X	(\$9 SE or \$18)	\$ 0
INDEP. 7 MINUS 7	** = 0	X	(\$39 SE or \$78)	\$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			X (\$130 SE or \$260)	\$ 0
				TOTAL \$ 0

\* not less than 20      \*\* not less than 3

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

If this communication is filed after the shortened statutory time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 C.F.R. §1.136(a), to extend the time for filing a response to the outstanding Office Action by the number of months which will avoid abandonment under 37 C.F.R. §1.135. The fee under 37 C.F.R. § 1.17 should be charged to our Deposit Account No. 15-0700.

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